

SBI China Capital Financial Services Limited 軟庫中華金融服務有限公司 4/F, Henley Building No. 5 Queen's Road Central Hong Kong 香港中環皇后大道中 5 號 衡怡大廈 4 樓全層

Fees and Charges Schedule 服務收費簡介

Hong Kong Securiti	es: Trade Related 本地證券:交易		
Items 項目	Services Charges 收費	Min 最低	Max 最高
Streaming Services 串流報價	Monthly 每月 \$273	HK\$273	
Brokerage Fee 經紀佣金	0.25% of Transaction Amount 成交金額的 0.25%	HK\$50	
Trading Fee (Collected by HKEx)	0.00565% of Transaction Amount		
交易費 (由港交所收取)	成交金額的 0.00565% *2023年1月1日生效		
Transaction Levy (collected by SFC)	0.0027% of Transaction Amount		
交易徵費 (由證監會收取)	成交金額的 0.0027%		
CCASS Fee	0.002% of Transaction Amount	HK\$2	HK\$100
中央結算系統收費	成交金額的 0.002%		
Stamp Duty (collected by Government of the HKSAR)	0.1% of Transaction Amount	HK\$1	
印花稅 (由香港特區政府收取)	成交金額之 0.1%		
AFRC Transaction Levy	0.00015% of Transaction Amount		
會計及財務匯報局交易徵費	成交金額之 0.00015%		
Hong Kong Securities: Settleme	ent & Custody Related 本地證券:交收及託管		
Physical Scrip Deposit 實物股票存入	HK\$5 for each transfer deed (Stamp Duty)		
(collected by the Government 由政府收取)	每張轉手紙 HK\$5 (釐印費)		
Physical Scrip Withdrawal 實物股票提取	HK\$5 Per Lot 每手 HK\$5		
Receive through CCASS (SI/ISI) 經 CCASS (SI/ISI) 存入	Free 免費		
Delivery through CCASS (SI/ISI) 經 CCASS (SI/ISI) 提取:			
1) CCASS SI/ISI Fee 中央結算系統收費	0.01% of the Market Value 市值的 0.01%	HK\$3	HK\$300
2) Handling Fee 手續費	0.25% of the Market Value 市值的 0.25%	HK\$100	
	* Market Value is determined by closing price of last trading date 市值以上日收市價計算		
Custody Fee 托管費	HK\$0.1 Per Lot 每手 HK\$0.1	HK\$50	
	* Charge in every 6 months 每半年收取一次		
Scrip Fee 過戶登記費	HK\$2.5 Per Lot 每手 HK\$2.5		
IPO Subscription 新股認購費	HK\$100 Per Subscription 每次 HK\$100		
Collection of Dividends 代收股息費	0.5% of Dividend Amount 股息金額的 0.5%	HK\$20	HK\$10,000
	(Depending on Currency)		
Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約	HK\$5 Per Lot 每手 HK\$5	HK\$30	HK\$100
Rights Subscription/Offer Exercise 行使供股權/認購權	Handling Fee HK\$100, and HK\$1 Per Lot		
	手續費 HK\$100 及 每手 HK\$1		

Hong Kong Securities: Settlement & Custody Related 本地證券:交收及託管

Voting 投票 HK\$100 Per Stock 每隻股票 HK\$100 --- --- ---

	Shanghai-Hong Kong Stock Connect / Shenzhen-	-Hong Kong Connect: Trade Related 滬港通	/ 深港通證券	: 交易
Handling Fee (Collected by SSE/SZS)	Items 項目	Services Charges 收費	Min 最低	Max 最高
要手権 (加上知道等交易所及制度等交易所及制度 の 0.003% of Transaction Amount	Brokerage Fee 經紀佣金	0.25% of Transaction Amount 成交金額的 0.25%	CNY100	
Transfer Fee 諸后曹 (Collected by ChinaClear Shanghai/ChinaClear Sh	Handling Fee (Collected by SSE/SZS)	0.00341% of Transaction Amount		
(Collected by ChinaClear Shanghai/ChinaClear Shenzhen) 成交金額的 0.001% (由中国結算上海/中国結算系測較取) Securifies Management Fee (Collected by CSRC) 0.002% of Transaction Amount	經手費 (由上海證券交易所/深圳證券交易所收取)	成交金額的 0.00341%		
使用・競科算工海 中國結算深利収取	Transfer Fee 過戶費	0.001% of Transaction Amount		
Securities Management Fee (Collected by CSRC) 0.002% of Transaction Amount 고급함함 (由中國監験會收取) 成交金融的 0.002% of Transaction Amount 고급함 (由書語前算收取) 成交金融的 0.002% of Transaction Amount	(Collected by ChinaClear Shanghai/ChinaClear Shenzhen)	成交金額的 0.001%		
避草費 (由中國設覧負収取) 成交金額的 0.002% of Transaction Amount	(由中國結算上海/中國結算深圳收取)			
Transfer Fee (Collected by HKSCC)	Securities Management Fee (Collected by CSRC)	0.002% of Transaction Amount		
経戸費(由香港結構販販) 成交金額的 0.002% Stamp Duty 0.05% of Transaction Amount on the seller データの記載した。 このできる日本のでは、 できないでは、 できないない	證管費 (由中國證監會收取)	成交金額的 0.002%		
Stamp Duty	Transfer Fee (Collected by HKSCC)	0.002% of Transaction Amount		
交易印花税 實方成交金額 0.05% Portfolio Fee (accrue daily and collect in monthly basis) Daily stock portfolio value × 0.008% ÷ 365	過戶費 (由香港結算收取)	成交金額的 0.002%		
Portfolio Fee (accrue daily and collect in monthly basis) Daily stock portfolio value × 0.008% ÷ 365	Stamp Duty	0.05% of Transaction Amount on the seller		
接資組合費(毎日計算・按月収取) 持有股値 × 0.008% + 365 日 Settlement & Custody Related: ZH-HK Stock Connect / SZ-HK Connect 濾港通 / 深港通證券: 交収及託管 Receive through CCASS 經中央結算系統存入 Free 免費	交易印花稅	賣方成交金額 0.05%		
Receive through CCASS 經中央結算系統存人 Free 免費	Portfolio Fee (accrue daily and collect in monthly basis)	Daily stock portfolio value × 0.008% ÷ 365		
Receive through CCASS 經中央結算系統存入 Free 免費	投資組合費 (每日計算・按月收取)	持有股值 × 0.008% ÷ 365 日		
Delivery through CCASS 經中央結算系統提取	Settlement & Custody Related: ZH-HK Stock	Connect / SZ-HK Connect 滬港通 / 深港通證	券:交收及託	管
Market Value is determined by closing price of last trading date (内部以上目成内銀行 Price 発音 Price 光音 Pric	Receive through CCASS 經中央結算系統存入	Free 免費		
Investor Account receive through CCASS 投資者戶口存入 Free 免費 Investor Account receive through CCASS 投資者戶口提取 0.0045% of the Market Value 市価的 0.0045% CNY30 CNY300 CNY300 **Market Value 市価的 0.0045% CNY30 CNY300 ***Market Value 市価的 0.0045% CNY30 CNY300 ***Market Value 市価的 0.0045% CNY30 CNY300 ***Market Value 市価的 0.0045% CNY300 CNY300 CNY300 CNY300 CNY300 CNY300 CNY3000 Per Lot 每年 CNY3000 A 每年 CNY30000 A 每年 CNY3000 A 每年 CNY	Delivery through CCASS 經中央結算系統提取	* Market Value is determined by closing price of last trading date	CNY32	CNY400
Investor Account receive through CCASS 投資者戶口提取 0.0045% of the Market Value 市值的 0.0045% CNY30 CNY30 CNY30 CNY30 *Market Value is determined by closing price of last trading date 市值以上吸水闸即算 Collection of Dividends 代收股息費 0.5% of Dividend Amount 股息金額的 0.5% CNY20 Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約 CNY5 Per Lot 每手 CNY5 CNY10 Rights Subscription/Offer Exercise 行使供股權/認購權 Handling Fee CNY100, and CNY1 Per Lot 手續費 CNY100 及 每手 CNY1 US Securities: Trade Related 美國證券:交易 Items 項目 Services Charges 收費 Min 最低 Max 最高 Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount 成交金額的 0.0008% *Applicable to sell transaction only 风速用能选度 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.00145 per share *Applicable to sell transaction only 风速用能选度 ADR Fee 預託證券費 USD0.01~0.05 per share 每股 USD0.01~0.05	Invector Account receive through CCASS 投资夹斤口方 \			
*Market Value is determined by closing price of last trading date 市鱼以上日夜市県計算 Collection of Dividends 代收股息費	<u> </u>		CNIV20	CNIV200
Collection of Dividends 代收股息費 0.5% of Dividend Amount 股息金額的 0.5% CNY20 Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約 CNY5 Per Lot 每手 CNY5 CNY10 Rights Subscription/Offer Exercise 行使供股權/認購權 Handling Fee CNY100, and CNY1 Per Lot 手續費 CNY100 及 每手 CNY1 US Securities: Trade Related 美國證券:交易 Items 項目 Services Charges 收費 Min 最低 Max 最高 Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount US\$0.01 成交金額的 0.0008% *Applicable to sell transaction only 只順用於流量 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.000145 美元 US\$0.001 *Applicable to sell transaction only 只順用於流量 US\$0.01 US\$0.01 US\$0.001 US\$0.005 US\$0.001 US\$0.001 US\$0.001 US\$0.001 US\$0.002 US\$0.002 US\$0.003	investor Account receive through CCASS 投具有尸口症取		CINTSU	CINTSOO
Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約 CNY5 Per Lot 每手 CNY5 CNY10 Rights Subscription/Offer Exercise 行使供股權/認購權 Handling Fee CNY100, and CNY1 Per Lot 手續費 CNY100 及 每手 CNY1 US Securities: Trade Related 美國證券:交易 Items 項目 Services Charges 收費 Min 最低 Max 最高 Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount US\$0.01 成交金額的 0.0008% *Applicable to sell transaction only 只適用於范鐘 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.00145 美元 US\$0.000145 per share US\$0.01 *Applicable to sell transaction only 只適用於范鐘 US\$0.01 *Applicable to sell transaction only 只適用於范鐘 US\$0.05 per share 每股 USD0.01~0.05 *Applicable to sell transaction only 只適用於范鐘 USD0.01~0.05 per share 每股 USD0.01~0.05		, ,,		
Rights Subscription/Offer Exercise 行使供股權/認購權 Handling Fee CNY100, and CNY1 Per Lot 手續費 CNY100及 每手 CNY1 US Securities: Trade Related 美國證券: 交易 Items 項目 Services Charges 收費 Min 最低 Max 最高 Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount US\$0.01 成交金額的 0.0008% *Applicable to sell transaction only 只適用於洁體 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.000145 美元 US\$0.001 *Applicable to sell transaction only 只適用於洁體 US\$0.001 Co.005 per share 每股 US\$0.001 *Applicable to sell transaction only 只適用於洁體 US\$0.001 Co.005 per share 每股 USD0.01~0.005	Collection of Dividends 代收股息費	0.5% of Dividend Amount 股息金額的 0.5%	CNY20	
F續費 CNY100 及 每手 CNY1 US Securities: Trade Related 美國證券: 交易 Items 項目 Services Charges 收費 Min 最低 Max 最高 Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount US\$0.01 成交金額的 0.0008% *Applicable to sell transaction only 只適用於法證 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 毎股 0.000145 美元 US\$0.000145 per share *Applicable to sell transaction only 只適用於法證 US\$0.01	Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約	CNY5 Per Lot 每手 CNY5	CNY10	
Items 項目 Services Charges 收費 Min 最低 Max 最高 Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount US\$0.01 成交金額的 0.0008% *Applicable to sell transaction only 只適用於法盤 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.000145 美元 US\$0.001 *Applicable to sell transaction only 只適用於法盤 US\$0.001 Per share 每股 0.000145 per share US\$0.001	Rights Subscription/Offer Exercise 行使供股權/認購權	Handling Fee CNY100, and CNY1 Per Lot		
Items 項目Services Charges 收費Min 最低Max 最高Brokerage Fee 經紀佣金US\$0.012/per share 每股 0.012 美元US\$2SEC Fee 美國證監費0.0008% of transaction amount 成交金額的 0.0008% *Applicable to sell transaction only 只適用於洁億US\$0.01Clearing Fee 結算費US\$0.003 Per Share 每股 0.003 美元US\$0.50Transaction Activity Fee 交易活動費每股 0.000145 美元 US\$0.000145 per share *Applicable to sell transaction only 只適用於洁億ADR Fee 預託證券費USD0.01~0.05 per share 每股 USD0.01~0.05		手續費 CNY100 及 每手 CNY1		
Brokerage Fee 經紀佣金 US\$0.012/per share 每股 0.012 美元 US\$2 SEC Fee 美國證監費 0.0008% of transaction amount 成交金額的 0.0008% *Applicable to sell transaction only 只適用於注意 US\$0.01 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.000145 美元 US\$0.000145 per share *Applicable to sell transaction only 只適用於注意 US\$0.01 *Applicable to sell transaction only 只適用於注意 US\$0.01	US Securities: 1	rade Related 美國證券:交易		
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成交金額的 0.0008% * Applicable to sell transaction only 只適用於洁盤 Clearing Fee 結算費 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.000145 美元 US\$0.000145 per share * Applicable to sell transaction only 只適用於洁盤 US\$0.01 * Applicable to sell transaction only 只適用於洁盤 US\$0.01~0.05 per share 每股 USD0.01~0.05	Brokerage Fee 經紀佣金	US\$0.012/per share 每股 0.012 美元	US\$2	
*Applicable to sell transaction only 只適用於洁盤 US\$0.003 Per Share 每股 0.003 美元 US\$0.50 Transaction Activity Fee 交易活動費 每股 0.000145 美元 US\$0.000145 per share *Applicable to sell transaction only 只適用於洁盤 USD0.01~0.05 per share 每股 USD0.01~0.05	SEC Fee 美國證監費	0.0008% of transaction amount	US\$0.01	
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Transaction Activity Fee 交易活動費 毎股 0.000145 美元 US\$0.000145 per share US\$0.01 *Applicable to sell transaction only 只適用於洁盤 ADR Fee 預託證券費 USD0.01~0.05 per share 毎股 USD0.01~0.05	Clearing Fee 結算費		US\$0.50	
*Applicable to sell transaction only 只適用於洁盤 ADR Fee 預託證券費 USD0.01~0.05 per share 每股 USD0.01~0.05			US\$0.01	
ADR Fee 預託證券費 USD0.01~0.05 per share 每股 USD0.01~0.05	•	·	•	
'	ADR Fee 預託證券費	<u> </u>		
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US Securities: Settlement & Cus	tody Related 美國證券:交收及託管		
Collection of Dividends 代收股息費 5%	of dividend amount 股息金額的 5%	US\$20	
Voting 投票 US\$	130 Per Stock 每隻股票 US\$130	US\$130	
Share Withdrawal Fee 股票轉出費用 US	\$0.06 Each Share 每股為 US\$0.06	US\$75	
Withholding Tax 預扣所得稅 30 ^c	% of dividend amount 股息金額的 30%		
(collected by IRS US 由美國國家稅務局收取)			
CA Securities: Trade I	Related 加拿大證券:交易		
Items 項目 Ser	vices Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金 1.29	6 of transaction amount 成交金額的 1.2%	CAD20	
Stock Transfer Fee 轉貨費 CAD	70 Per stock	CAD70	
Physical Stock Withdrawal Fee 實物提貨費 CAD	70 Per stock	CAD70	
MY Securities: Trade R	elated 馬來西亞證券:交易		
Items 項目 Ser	vices Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金 0.25	% of transaction amount 成交金額的 0.25%	MYR 70	
SG Securities: Trade F	Related 新加坡證券:交易		
Items 項目 Ser	vices Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金 0.25	% of transaction amount 成交金額的 0.25%	SG\$20	
Collection of Dividends 代收股息費 1%	of dividend amount 股息金額的 1%	SG\$10	
Voting 投票 SG\$	100 Per Stock 每隻股票 SG\$100	SG\$100	
Bonds Custody F	Related 定息債券託管		
Custody Fee 托管費 Mc	nthly 每月 US\$20		
Cash Withdrawal & Other	· Services 現金提款及其他服務		
Items 項目	Services Charges 收費		
Cheque Withdrawal 支票提款	Free 免費		
Telegraphic Transfer (T/T) 電匯	HK\$650		
Withdrawal by Clearing House Automated Transfer System (CHATS)	HK\$400		
	•		
以 CHATS 方式提款	·		
以 CHATS 方式提款 Charges on Late Payment 逾期付款費用	Banks Prime Rate + 8% and Handling Fee o	f 8% per annur	n
		f 8% per annur	n
	Banks Prime Rate + 8% and Handling Fee o	· 	n
Charges on Late Payment 逾期付款費用	Banks Prime Rate + 8% and Handling Fee o 銀行最優惠利率 + 8%及年利率 8%手續費	· 	n
Charges on Late Payment 逾期付款費用 Bounced Cheque 退票	Banks Prime Rate + 8% and Handling Fee o 銀行最優惠利率 + 8%及年利率 8%手續費 HK\$100 per cheque 每張退票收取 HK\$100	· 	n
Charges on Late Payment 逾期付款費用 Bounced Cheque 退票 Audit Confirmation 核數證明	Banks Prime Rate + 8% and Handling Fee o 銀行最優惠利率 + 8%及年利率 8%手續費 HK\$100 per cheque 每張退票收取 HK\$100 HK\$200	手續費	n